

Patent

Atty Docket No. 156925-0003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Craig A. YATES, et al.

Serial No.: 09/858,157

Filed: May 14, 2001

For: METHOD AND SYSTEM FOR
WIRELESS VALIDATION OF
GAMING VOUCHER

Group Art Unit: 3713

Examiner: Not yet assigned

TRANSMITTAL LETTER

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

Sir:

I. DOCUMENTS ENCLOSED:

In response to the NOTICE TO FILE MISSING PARTS OF APPLICATION UNDER 37 CFR § 1.53(f), which was mailed by the Patent Office on July 19, 2001, enclosed are:

- ☒ Declaration.
- ☒ Power of Attorney.
- ☒ Assignment of the invention to Barona Tribal Gaming Authority (together with PTO Form 1595).
- ☒ A copy of the Notice to File Missing Parts of Application under 37 CFR § 1.53(f).

CERTIFICATE OF MAILING (37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

September 19, 2001

Date of Deposit

Connie Kwon

Name of Person Mailing Paper

Signature of Person Mailing Paper

- ☒ Also enclosed: Supplemental Information Disclosure Statement is submitted herewith.

II. REQUEST FOR EXTENSION OF TIME:

The proceedings herein are for a patent application and the provisions of 37 CFR § 1.136 apply.

Applicant(s) petitions for an extension of time under 37 CFR § 1.136 [fees: 37 CFR § 1.17(a)(1)-(5)] for the total number of months checked below:

EXTENSION (months)	FEE FOR SMALL ENTITY	FEE FOR OTHER THAN SMALL ENTITY
<input type="checkbox"/> one month	\$55.00	\$110.00
<input type="checkbox"/> two months	\$195.00	\$390.00
<input type="checkbox"/> three months	\$445.00	\$890.00
<input type="checkbox"/> four months	\$695.00	\$1,390.00
<input type="checkbox"/> five months	\$945.00	\$1,890.00
	Fee	\$0.00

- ☒ No extension fee is required. If any extension fee is required, please consider this a petition therefor.

III. FILING FEES (including claims in the Preliminary Amendment)

- ☒ Applicant claims small entity status under 37 CFR 1.27.

BASIC FILING FEE:							\$710.00
Total Claims	23	-	20	=	3	x \$18.00	\$54.00
Independent Claims	4	-	3	=	1	x \$80.00	\$80.00
Multiple Dependent Claims	\$270	(if applicable)				<input type="checkbox"/>	\$0.00
Surcharge 37 CFR § 1.16(e)	\$130	(if applicable)				<input checked="" type="checkbox"/>	\$130.00
TOTAL OF ABOVE CALCULATIONS							\$974.00
Reduction by ½ for Filing by Small Entity. Note 37 CFR §§ 1.9, 1.27, 1.28. If applicable, Verified Statement must be attached.							<input checked="" type="checkbox"/> \$487.00
Extension of Time (from above)							\$0.00
Assignment -- \$40 (if applicable)							<input checked="" type="checkbox"/> \$40.00
TOTAL FEES SUBMITTED HERewith							\$527.00

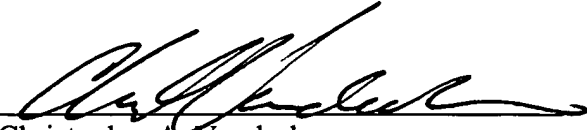
IV. METHOD OF PAYMENT OF FEES:

- ☒ A check in the amount of \$527.00 is enclosed to cover the above fee(s).
- ☐ Charge Deposit Account No. 09-0946 in the amount of _____.

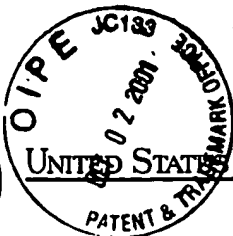
☒ The Commissioner is authorized to charge Irell & Manella's Deposit Account No. **09-0946** for any fees required under 37 CFR §§ 1.16, 1.17 and 1.445 that are not covered, in whole or in part, by a check enclosed herewith and to credit any overpayments to said Deposit Account No. **09-0946**.

Respectfully Submitted,

Dated: September 19, 2001


Christopher A. Vanderlaan
Registration No. 37,747

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/858,157	05/14/2001	Craig Yates	156925-0003

CONFIRMATION NO. 9167

29000
IRELL & MANELLA LLP
1800 AVENUE OF THE STARS
SUITE 900
LOS ANGELES, CA 90067

FORMALITIES LETTER



OC000000006318108

Date Mailed: 07/19/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$134.
 - \$54 for 3 total claims over 20.
 - \$80 for 1 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 974.

10/05/2001 BSAYAS11 00000037 090946 09858157

01 FC:201	370.00 OP
02 FC:202	42.00 OP
03 FC:203	27.00 OP
04 FC:205	65.00 OP

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE